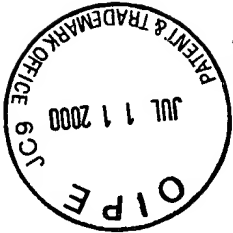


GAU 2776

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231 on July 6, 2000.



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JUL 14 2000
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Applicant : Henry C. Yuen
Application No. : 09/449,887
Filed : November 30, 1999

Title : SMART AGENT BASED ON HABIT, STATISTICAL
INFERENCE AND PSYCHO-DEMOGRAPHIC
PROFILING

Grp./Div. : 2776
Examiner : To be assigned
Docket No. : 36524/RRT/I148

INFORMATION DISCLOSURE STATEMENT AND
CERTIFICATION UNDER § 1.97(e)(1)

Assistant Commissioner for Patents
Washington, D.C. 20231

Post Office Box 7068
Pasadena, CA 91109-7068
July 6, 2000

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO-1449 with a listing of references that are known to applicant. Copies of each of the listed references are enclosed.

It is respectfully requested that these references be considered in the examination of this application and identified on the list of references cited on the patent issuing on this application. Applicant also requests that an initialed copy of said FORM PTO-1449 be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Application No.09/449,887

Applicant's undersigned attorney hereby certifies, in accordance with 37 CFR § 1.97(e)(1), that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By



Raymond R. Tabandeh
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RRT/dsz

Enclosures: PTO 1449, w/6 references

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